



## **Managed Fund Service**

### **Application Form**



Applicant(s) name(s):

I / We apply to invest £

## MANAGED FUND SERVICES (MFS)

- The CONSERVATIVE Portfolio (Lower Risk Profile)**  
(this service may include up to 45% investment in UK & International Equities)
- The INCOME Portfolio (Lower than Medium Risk Profile)**  
(this service may include up to 67% investment in UK & International Equities)
- The BALANCED Portfolio (Medium Risk Profile)**  
(this service may include up to 83% investment in UK & International Equities)
- The GROWTH Portfolio (Higher than Medium Risk Profile)**  
(this service may include up to 100% investment in UK & International Equities)
- The ADVENTUROUS Portfolio (Higher Risk Profile)**  
(this service may include up to 100% investment in UK & International Equities)

## INCOME REQUIREMENTS

Please indicate whether you wish to take an income from your investment

- REINVEST (No income to be distributed)
- DISTRIBUTE (Please complete the section below)

## DISTRIBUTION OF INCOME

Please indicate the frequency and amount of income you wish to have paid out from your investment portfolio

### Quarterly Options

- Variable Quarterly Dividend Payment
- Fixed Quarterly Income Payment (specify amount below)
- Amount of Fixed Quarterly Income Payment £

Please specify when you would like the Quarterly Income Payments to start from

- 18 January
- 18 April
- 18 July
- 18 October

### Monthly Option

- Fixed Monthly Income Payment (specify amount below)
- Amount of Fixed Monthly Income Payment £

Please indicate when you would like the Monthly Income Payments to start from

- 18<sup>th</sup> of (month)

If income has been requested it will be sent directly to your Bank or Building Society account by BACS (Bankers Automated Clearing System).

## Bank / Building Society Details

Name and Address of Bank or Building Society (Incl. Postcode)

Account Sort Code

Account Number

Account Name

Building Society Roll / Ref Number

### **Please be Aware**

*The value of investments can go down as well as up and, as a consequence, the value of your portfolio at any given point in time cannot be guaranteed. Upon withdrawal or transfer of your portfolio you may not realise the sum originally invested. Past performance cannot be relied on and is not necessarily a guide to future returns.*

*Before investing in the Balkerne Asset Management Managed Fund Service, we recommend that you read the Key Features and Terms & Conditions pertinent to this service as they provide details of the terms, risks and costs under which the investment(s) will be managed. In the event that you do not understand any aspect of the Key Features or Terms & Conditions you should ask further questions of your Financial Adviser in order to seek clarification.*

## CLIENT DECLARATION:

I / We confirm that the details contained in this application form are accurate and true and are in accordance with my / our investment objectives and attitude to investment risk as discussed with my / our financial adviser. I / We further confirm that I / we have been given sufficient time and opportunity to read and consider the Managed Fund Service Key Features and Terms & Conditions and the Schedule of Charges and Costs of our Services and both appreciate and agree to the terms, risks and costs under which the investment(s) will be managed.

### APPLICANT 1

Signature

Date

Print Full Name

### APPLICANT 2

## FINANCIAL ADVISER REMUNERATION AGREEMENT

I/We confirm that I/we have received advice relating to this investment and hereby request Balkerne Asset Management to pay my/our adviser charge directly to my/our Financial Adviser as detailed below.

This arrangement is to remain in place until I/we give my/our instructions in writing to Balkerne Asset Management that this arrangement should be cancelled; or I/we cease to be investors with Balkerne Asset Management.

### Amount of Adviser Agreed Remuneration:

1. Initial Adviser Agreed Remuneration of [ ] % of funds invested, which equates to the sum of £ [ ] (Estimated where the transfer of funds / stocks is not specifically known).

### PLUS

2. An Annual Portfolio based Ongoing Adviser Charge of [ ] % of funds held, paid in quarterly instalments.

### Financial Adviser Details:

Full Address and Postcode of  
Financial Adviser

Adviser FCA Registered Number

Adviser Telephone Number

Adviser Email

**Adviser Signature**

Date

Print Adviser Name

### Declaration and Client Signatures:

I / We agree and authorise Balkerne Asset Management to pay my / our Financial Adviser the agreed adviser charges as detailed above.

#### APPLICANT 1

#### APPLICANT 2

Signature

Date

Print Full Name